# MacWilliams Extension Theorem for the Lee Weight Noncommutative rings and their applications V Lens 12-15 June 2017

Philippe Langevin

IMATH, Toulon

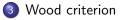
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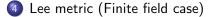
A serie of joint works with Serhii Dyshko and Jay Wood.

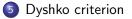
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### 2 Extension property







### Sommaire

### 1 Isometry and MacWilliams Extension Theorem

- 2 Extension property
- 3 Wood criterion
- Lee metric (Finite field case)
- 5 Dyshko criterion

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### Isometry

• Let K be a finite field •  $H(x) = \begin{cases} 0, & x = 0; \\ 1, & \text{else.} \end{cases}$ 

- n a positive integer
- C a subspace of  $K^n$

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### Isometry

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A linear map  $f: C \to K^n$  preserving the Hamming weight

$$\forall x \in C$$
,  $w_{H}(x) = w_{H}(f(x))$ 

is called a (linear) isometry over C.

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## Monomial transformation

• consider  $(e_i)_{1 \le i \le n}$  the canonical basis of  $K^n$ .

An isometry over the ambiant space  $K^n$  permutes the vectors of weight one.

$$e_i \mapsto \lambda_i e_{\pi(i)}$$

where

• 
$$\lambda_i \in K^{\times}$$

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$$\mathfrak{S}_n \ltimes K^{\times n}$$

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# MacWilliams Extension Theorem

Theorem (MacWilliams, 1962)

An isometry over  $C \subseteq K^n$  extends to an isometry over  $K^n$ .

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# MacWilliams Extension Theorem

### Theorem (MacWilliams, 1962)

An isometry over  $C \subseteq K^n$  extends to an isometry over  $K^n$ .

In other words, for an isometry  $f: C \to K^n$  there exists a permutation  $\pi$  and scalars  $\lambda_i$ 's such that

$$\forall x \in C, \quad f(x) = (\lambda_1 x_{\pi(1)}, \lambda_2 x_{\pi(2)}, \dots, \lambda_n x_{\pi(n)})$$

$$\mathfrak{S}_n \ltimes K^{\times n} \xrightarrow{\operatorname{res}} \operatorname{Isom}(\mathcal{C}) \to 0$$

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From the character theorycal proof of Ward & Wood, one deduces that MacWilliams extension theorem works for the Hamming space over any finite Frobenius rings.

H. N. Ward, J. A. Wood, *Characters and the Equivalence of Codes*, J. Comb. Theory, Ser. A, (1996).

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## Homogeneous weight

The same holds for any homogeneous weight on a finite Frobenius ring :

- ω(0) = 0;
- If x and y are associate then  $\omega(x) = \omega(y)$ ;
- There exists a constant c such that for all principal ideal I,

$$\sum_{\mathbf{y}\in I}\omega(\mathbf{y})=c\left|\mathfrak{I}\right|.$$

M. Greferath and S. E. Schmidt, *Finite-ring combinatorics and MacWilliams's equivalence theorem*, J. Combin. Theory Ser. A, (2000).

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Of course, MacWilliams extension works over the  $\mathbb{Z}/(4)$  with its Lee weight

$$L(0) = 0$$
,  $L(1) = L(3) = 1$ ,  $L(2) = 2$ .

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## MacWilliams for Lee weight

- q a positive integer
- L the Lee weight over  $\mathbb{Z}/(q)$ .

$${f L}(r) = egin{cases} r, & 0 \leq r \leq q/2; \ q-r, & q/2 < r < q. \end{cases}$$

#### Remark

Lee weight is not homogeneous for q > 4.

Do we have a MacWilliams extension statement for the Lee weight ?

A. Barra, Equivalence Theorems and the Local-Global Property, ProQuest LLC, Ann Arbor, MI, 2012, Thesis (Ph.D.)–University of Kentucky.

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## Known results, new results

In the last NCRA IV proceedings :

- q = 2p + 1, p prime (Folklore).
- q = 4p + 1 (Barra, 2012)
- $q = 2^r$  or  $q = 3^r$  (Lens, 2015)

Despite all this progress, there are glaring gaps in our knowledge : does extension theorem holds for linear codes over  $\mathbb{Z}/(q)$  ?

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### YES !

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# Connection with classical tools

We have two ways to prove MacWilliams extension Theorem for the Lee weight using classical results of

- Number Theory
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- Number Theory
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The first works when the module q is primary, the second due to Sergey Dyshko works for a general module.

I will sketch the proofs in the case of prime fields.

## Extension property holds for Lee weight

- Deux analogues au déterminant de Maillet C. R. Acad. Sci. Paris vol. Ser. I, 2016
- Ph. Langevin, J. Wood: The extension problem for Lee and Euclidean weights Journal of Algebra Combinatorics Discrete Structures and Applications Vol. 4 2 pp 207–217, 2017.
- Ph. Langevin, J. Wood: The extension theorem for the Lee and Euclidean Weight over Z/p<sup>k</sup>Z Journal of Pure and Applied Algebra, submitted 2016.
- S. Dyshko: *The Extension Theorem for the Lee weight* Code, Design and Cryptography, submitted 2017.

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Isometry and MacWilliams Extension Theorem

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- $\omega$  a weight function on R

- *n* a positive integer
- M a submodule of  $R^n$

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A linear map  $f: M \to K^n$  preserving the  $\omega$ -weight

$$\forall x \in M, \quad \omega(x) = \omega(f(x))$$

is called a (linear)  $\omega$ -isometry over M.

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## U-monomial map

•  $e_i$  the canonical basis of  $R^n$ .

Again, an isometry over  $R^n$  maps  $e_i$  on  $\lambda_i e_{\pi(i)}$  where  $\lambda_i \in R^{\times}$  and  $\pi$  permutes  $\{1, 2, \ldots, n\}$ , moreover :

$$\forall t \in R, \quad \omega(t) = w_{\omega}(te_i) = w_{\omega}(t\lambda_i e_{\pi(i)}) = \omega(t\lambda_i)$$

thus  $\lambda_i$  lies in the symmetry group of  $\omega$ 

$$U(\omega) := \{\lambda \in R \mid orall t \in R, \quad \omega(\lambda t) = \omega(t)\}$$

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Definition (U-monomial transformation)

Given U a subgroup of  $R^{\times}$ , a monomial transformation with scalars in U.

$$\mathfrak{S}_n \ltimes U^n$$

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## **Extension Property**

#### Definition (extension property)

We say that Extension Property holds for the pair  $(R, \omega)$  when each  $\omega$ -isometry over  $M \subseteq R^n$  extends to a  $U(\omega)$ -monomial transformation.

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- EP holds for Hamming weight on Frobenius ring
- EP holds for Homogeneous weight on Frobenius ring

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It looks difficult to decide if EP holds for an arbitrary weight function!

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# Preserving map

- U be a subgroup of  $R^{\times}$
- $r \sim s$  if and only if  $s \in rU$
- $\Omega$  a set of representatives of  $R \setminus \{0\}$

• 
$$c_r(x) := \sharp\{i \mid x_i = r\}$$

• 
$$c_r^U(x) := \sharp\{i \mid x_i \sim r\}$$

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$$c_r(x) := \#\{i \mid x_i = r\}$$

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A linear map  $f: M \to R^n$  such that

$$\forall x \in C, \forall r \in \Omega \quad c_r^U(x) = c_r^U(f(x))$$

is called a *U*-preserving map over *M*.

# Goldberg Extension Theorem

#### preserving map over $K^n$

The *U*-preserving maps over  $K^n$  are precisely the *U*-monomial transformations.

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# Goldberg Extension Theorem

#### preserving map over $K^n$

The U-preserving maps over  $K^n$  are precisely the U-monomial transformations.

### Theorem (Goldberg, 1980)

A linear U-preserving map extends to U-monomial transformation.

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The same holds modular rings : Constantinescu, Heise, Honold (1996).

### J. A. Wood.

Weight functions and the extension theorem for linear codes over finite rings.

In R. C. Mullin and G. L. Mullen, editors, *Finite fields: theory, applications, and algorithms (Waterloo, ON, 1997)*, volume 225 of *Contemp. Math.*, pages 231–243. Amer. Math. Soc., Providence, RI, 1999.

# Extensibility Property (recall)

The symmetry group of  $\omega$ .

$$U(\omega) = \{\lambda \in K^{\times} \mid \forall x \in K, \ \omega(\lambda x) = \omega(x)\} \leqslant K^{\times}$$

#### Extension Property

We say the extension property holds for the weight  $\omega$  when each  $\omega$ -isometry of  $K^n$  is the restriction of a  $U(\omega)$ -monomial map.

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From Goldberg Theorem, one gets a criterion.

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$$\mathbf{w}_{\omega}(x) = \sum_{i=1}^{n} \omega(x_i) = \sum_{r \in R} \omega(r) c_r(x) = \sum_{r \in \Omega} \omega(r) c_r^U(x).$$

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For all  $s \in \Omega$ ,

$$w_{\omega}(xs) = \sum_{r \in R} \omega(rs)c_r(x)$$
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$$\mathbf{w}_{\omega}(f(xs)) = \mathbf{w}_{\omega}(f(x)s)$$
  
=  $\sum_{r \in \Omega} \omega(rs)c_r^U(f(x))$ 

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$$\mathbf{w}_{\omega}(x) = \sum_{i=1}^{''} \omega(x_i) = \sum_{r \in R} \omega(r) c_r(x) = \sum_{r \in \Omega} \omega(r) c_r^U(x).$$

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$$egin{aligned} & \mathbf{w}_{\omega}(f(\mathbf{xs})) = \mathbf{w}_{\omega}(f(\mathbf{x})\mathbf{s}) \ & = \sum_{r\in\Omega} \omega(rs) c^U_r(f(\mathbf{x})) \end{aligned}$$

#### Lemma

The invertibility of  $(\omega(rs))_{r,s\in\Omega}$  implies the U-preservation of  $\omega$  whence Extension Property. Philippe Langevin (IMATH, Toulon)

Let  $\Omega$  a set of repretentatives for the action of  $U := U(\omega)$ .

$$\mathcal{W}_{\omega} := egin{bmatrix} \vdots & \vdots & \\ \vdots & & \\ \vdots & & \\ \vdots & & \\ r,s\in\Omega & \end{bmatrix} \Delta_{\omega} := \det(\mathcal{W}_{\omega})$$

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Proposition (Wood)

If  $\Delta_{\omega} \neq 0$  then Extension Property holds for the weight  $\omega$ .

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#### Remark

One has an analogue criterion non commutative case.

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### Numerical evidence for the Lee weight!

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### $\ell$ an odd prime

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### $\ell$ an odd prime

• L the Lee metric of  $\mathbb{F}_{\ell}$ 

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### $\ell$ an odd prime

- $\bullet~{\rm L}$  the Lee metric of  $\mathbb{F}_\ell$
- $U(L) = \{-1, +1\}$

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By the Dedekind determinant formula

$$\Delta_{ ext{\tiny L}} = \pm \prod_{\chi \in \widehat{\mathcal{G}}} \widehat{ ext{\tiny L}}(\chi)$$

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where  $\widehat{L}(\chi) = \sum_{s \in G} L(s)\chi(s)$  is the Fourier coefficient of L at  $\chi$ .

#### Proposition

Certainly, Extension Property holds for the Lee weight in the case of sure prime module i.e.  $\ell = 2p + 1$  with p prime.

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- $\beta$  a generator of G
- $\chi$  a non trivial character

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- $\zeta := \chi(\beta)$  is a primitive *p*-th root of unity.

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The minimal polynomial of  $\zeta$  is

$$\Phi_{\rho}(T)=T^{\rho-1}+\ldots+T^1+T^0$$

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The minimal polynomial of  $\zeta$  is

$$\Phi_p(T) = T^{p-1} + \ldots + T^1 + T^0$$

thus

$$\widehat{\mathbf{L}}(\chi) = \sum_{k=0}^{p-1} \mathbf{L}(\beta^k) \zeta^k$$

does not vanish simply because L is not constant on  $G_{\odot}$ 

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### Two in one

We consider the Lee and Euclidean weights :

$$\mathbf{L}(t) = egin{cases} t, & 0 \leq t \leq \ell/2; \ \ell-t, & \ell/2 < t < \ell; \end{cases} \quad \mathbf{E}(t) = \mathbf{L}(t)^2.$$

they share the same symmetry group

$$U := U(L) = \{-1, +1\} = U(E).$$

Theorem

If  $\ell$  is an odd prime then  $\Delta_{\scriptscriptstyle \rm L} \neq 0$  and  $\Delta_{\scriptscriptstyle \rm E} \neq 0.$ 

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## Fourier coefficient of the Lee map

The quotient group

$${{\mathcal{G}}}:={{\mathbb{F}}_\ell}^ imes/\{\pm 1\}=\{1,2,\ldots,(\ell-1)/2\}$$

is cyclic of order  $n := (\ell - 1)/2$ . we want to prove :

$$\forall \chi \in \widehat{G}, \quad 0 \neq \widehat{L}(\chi) = \sum_{s \in G} L(s)\chi(s).$$

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- It is trivial when  $\ell = 2p + 1$ , p prime.
- Barra proved the case  $\ell = 4p + 1$ .

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### Fourier analysis

We identify  $\widehat{G}$  with the group of even characters of  $\mathbb{F}_{\ell}$  :

$$\widehat{\mathcal{G}} = \{\chi \in \widehat{\mathbb{F}_{\ell}^{\times}} \mid \chi(-1) = 1\}$$

The Fourier coefficients of  ${\rm L}$  and  ${\rm E}$  are given by

$$\widehat{\mathrm{L}}(\chi) = \sum_{x \in G} \mathrm{L}(x)\chi(x) = \sum_{k < \ell/2} \mathrm{L}(k)\chi(k) = \sum_{k < \ell/2} k\chi(k)$$
$$\widehat{\mathrm{E}}(\chi) = \sum_{x \in G} \mathrm{E}(x)\chi(x) = \sum_{k < \ell/2} \mathrm{E}(k)\chi(k) = \sum_{k < \ell/2} k^2\chi(k)$$

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### Links between the determinants

It is easy to verify the following quadratic relation holds

$$L(2x)^2 - 4L(x)^2 = (L(2x) - 2L(x)) \ell.$$

In other words

$$\mathrm{E}(2x)-4\mathrm{E}(x)=\left(\mathrm{L}(2x)-2\mathrm{L}(x)\right)\ell.$$

On spectra

$$(\overline{\chi}(2)-4)\widehat{\mathrm{E}}(\chi)=(\overline{\chi}(2)-2)\widehat{\mathrm{L}}(\chi)\ell.$$

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#### Scholie

Let r be the smallest positive integer such that  $2^r \equiv \pm 1 \mod \ell$ .

$$(2^r+1)^{rac{\ell-1}{2r}} \Delta_{\scriptscriptstyle \mathrm{E}} = \ell^{rac{\ell-1}{2}} \Delta_{\scriptscriptstyle \mathrm{L}}.$$

### basic fact for non trivial even characters

•  $1 \neq \chi$  even and not trivial

$$\widehat{1}(\chi) = 2\sum_{k < \ell/2} \chi(k) = 0.$$

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The first generalized Bernoulli's number vanishes too

$$B_1(\chi) = \frac{1}{\ell} \sum_{k=1}^{\ell} k \chi(k) = 0$$

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The first generalized Bernoulli's number vanishes too

$$B_1(\chi) = \frac{1}{\ell} \sum_{k=1}^{\ell} k \chi(k) = 0$$

We want to prove that

$$0 \neq \frac{1}{\ell} \sum_{k < \ell/2} k \chi(k) = \widehat{L}(\chi)$$

Consequence of  $\widehat{L}(\chi) = 0$  on the 2nd Bernoulli's number

Let us observe the consequence of

$$\widehat{L}(\chi) = 0 = \widehat{E}(\chi), \quad 1 \neq \chi, \quad \chi(-1) = 1,$$

on the second generalized Bernoulli's number

$$B_2(\chi) = rac{1}{2\ell} \sum_{k=1}^{\ell} (k^2 - \ell k) \chi(k).$$

$$2\ell B_2(\chi) = 2\widehat{\mathbf{E}}(\chi) - 2\widehat{\mathbf{L}}(\chi)\ell + \widehat{\mathbf{1}}(\chi)\ell^2$$
  
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### Contradiction with classical fact from number theory

In number theory, there is a long story concerning the analytic continuation of the Dirichlet serie

$$L(s,\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

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$$L(s,\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

On the one hand

$$-\frac{B_2(\chi)}{2} = L(-1,\chi)$$

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### primary case

### Corollary (extension property)

The Lee and Euclidean isometries are the restriction of  $\{-1, +1\}$ -monomial transformations.

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### primary case

### Corollary (extension property)

The Lee and Euclidean isometries are the restriction of  $\{-1, +1\}$ -monomial transformations.

The same approach works in the case of a primary module

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### primary case

### Corollary (extension property)

The Lee and Euclidean isometries are the restriction of  $\{-1, +1\}$ -monomial transformations.

The same approach works in the case of a primary module

but not for a composite module!

## Sommaire

- Isometry and MacWilliams Extension Theorem
- 2 Extension property
- 3 Wood criterion
- Lee metric (Finite field case)
- 5 Dyshko criterion

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# Additive Fourier coefficient

The additive Fourier coefficient of  $\boldsymbol{\omega}$  :

$$\omega^{\star}(\mathsf{a}) = \sum_{x \in \mathbb{F}_{\ell}} \omega(x) \mu(\mathsf{a} x)$$

where  $\mu$  is the standard additive character of  $\mathbb{F}_{\ell}.$ 

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# Additive Fourier coefficient

The additive Fourier coefficient of  $\omega$  :

$$\omega^{\star}(a) = \sum_{x \in \mathbb{F}_{\ell}} \omega(x) \mu(ax)$$

where  $\mu$  is the standard additive character of  $\mathbb{F}_{\ell}.$ 

Note that  $U(\omega^*) = U(\omega)$  and

$$\sum_{oldsymbol{a}\in\mathbb{F}_\ell}\omega^\star(oldsymbol{a})=\ell imes\omega(0)=0$$

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## change of determinant

Since  $\omega(0) = 0$ ,

$$\widehat{\omega^{\star}}(\chi) = \tau(\chi)\widehat{\omega}(\bar{\chi})$$

where  $\tau(\chi)$  is a Gauss sum

$$\mathcal{W}_{\omega}^{\star} = \begin{vmatrix} \vdots \\ \cdots \\ \omega^{\star}(rs) \\ \vdots \end{vmatrix}_{r,s \in \mathbb{F}_{\ell}^{\times}/\pm 1}$$

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 $\Delta_\omega = 0 \Leftrightarrow \mathsf{det}(\mathcal{W}^\star_\omega) = 0$ 

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# Levy-Desplanques dominant criterion

A strictly diagonally dominant  $n \times n$ -matrix  $(a_{ij})$  i.e.

$$orall i, |a_{ii}| > \sum_{i 
eq j} |a_{ij}|$$

is not singular.

Corollary

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$$\forall r \neq 0, \quad \omega^*(r) < 0 \quad \text{ and } \quad \omega^*(0) < -2 |U(\omega)| \times \omega^*(1)$$

then  $\Delta_{\omega} \neq 0$ .

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#### We consider the matrices

$$\mathcal{W}_{\omega}^{\star} = \begin{vmatrix} \vdots \\ \vdots \\ \vdots \\ \end{vmatrix}_{r,s \in \mathbb{F}_{\ell}^{\times}/\pm 1} \begin{vmatrix} \vdots \\ \vdots \\ \vdots \\ \vdots \\ r,s \in \mathbb{F}_{\ell}^{\times}/\pm 1 \end{vmatrix} \qquad \vdots \\ r,s \in \mathbb{F}_{\ell}^{\times}/\pm 1 \qquad z \in \mathbb{F}_{\ell}^{\times}/\pm 1$$

 $\omega^{\star}(1)$  is on the diagonal

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The sum of the Fourier coefficients  $\omega^*(0) + \sharp U(\omega) \times \sum_{r \in \Omega} \omega^*(r)$  vanishes.

$$|\omega^{\star}(1)| - \sum_{1 \neq r \in \Omega} |\omega^{\star}(r)| = -2\omega^{\star}(1) + \frac{-\omega^{\star}(0)}{\sharp U(\omega)}$$

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• 
$$0 \le r < \ell$$
 •  $n := \frac{\ell-1}{2}$  •  $t := \frac{2\pi r}{\ell}$ 

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• 
$$0 \le r < \ell$$
  
 $L^{*}(r) = \sum_{k=0}^{\ell-1} L(k)e^{it} = 2\sum_{k=1}^{n} \cos kt = 2n(D_n(t) - F_n(t))$ 

where  $D_n$  is the Dirichlet kernel

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$$F_n(t) := \frac{1}{n} \sum_{k=0}^{n-1} D_k(t) = \frac{1}{2} + \frac{1}{n} \sum_{k=1}^n (1 - \frac{k}{n}) \cos kt = \frac{1}{2n} \left( \frac{\sin \frac{n}{2}t}{\sin \frac{1}{2}t} \right)^2$$

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## Lee weight satisfies the two conditions

• 
$$0 \le r < \ell$$
  
•  $n := \frac{\ell - 1}{2}$ 

First condition :

$$L^{\star}(r) = -2nF_n(\frac{2\pi r}{\ell}) < 0$$

Second condition :

$$-4\mathtt{L}^{\star}(1) = 4\left(\frac{\sin\frac{\frac{\ell-1}{2}}{2}\frac{2\pi}{\ell}}{\sin\frac{1}{2}\frac{2\pi}{\ell}}\right)^2$$

and

$$L^{\star}(0) = 2\sum_{k=1}^{\frac{\ell-1}{2}} k = \frac{1}{4}(\ell^2 - 1)$$

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We have to prove

 $-4L^{\star}(1) > L^{\star}(0)$ 

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$$-4L^{\star}(1) > L^{\star}(0)$$

and now it is very easy !

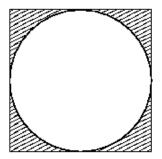
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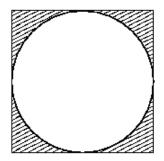
and now it is very easy !



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$$-4L^{\star}(1) > L^{\star}(0)$$

and now it is very easy !



Indeed,

$$rac{4}{\pi^2}\ell^2\sim -4{ ext{L}}^\star(1)$$
 and  ${ ext{L}}^\star(0)\sim rac{1}{4}\ell^2$ 

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- consider the ring  $\mathbb{Z}/(q)$
- $\bullet \ \omega$  a weight function

- b a divisor of q.
- write q = ab

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- ullet consider the ring  $\mathbb{Z}/(q)$
- $\omega$  a weight function

- *b* a divisor of *q*.
- write q = ab

Consider the additive Fourier coefficients of the map  $x \mapsto \omega(bx)$ 

$$F_{a}(t) = \sum_{x \in \mathbb{Z}/(a)} \omega(bx) \zeta_{a}^{tx}$$

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• consider the ring  $\mathbb{Z}/(q)$ •  $\omega$  a weight function •  $\omega$  a weight function • write q = ab

Consider the additive Fourier coefficients of the map  $x \mapsto \omega(bx)$ 

$$F_{a}(t) = \sum_{x \in \mathbb{Z}/(a)} \omega(bx) \zeta_{a}^{tx}$$

$$W_a(\omega) = \begin{vmatrix} \vdots & \vdots \\ \vdots & \vdots \end{vmatrix}_{r,s \in \mathbb{Z}/(a)^*/G_a(\omega)}$$

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where  $G_a(\omega) = \{h \in \mathbb{Z}/(a)^* \mid \forall t \in \mathbb{Z}/(a) \quad wt(bht) = \omega(bt)\}.$ 

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### Theorem (Dyshko)

Let  $\omega : \mathbb{Z}/(q) \to \mathbb{C}$  be a weight function. If for all  $1 \neq a \mid q$  the matrix  $W_a(\omega)$  is non singular and

$$\forall h \in G_a(\omega) \quad \exists g \in G_q(\omega) \quad g \equiv h \mod a$$

then Extension Property holds for the weight  $\omega$ .

#### Corollary

For every integer  $q \ge 2$  the Extension Property of the Lee weight holds over the ring  $\mathbb{Z}/(q)$ .

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